

10/60/11

UTILITY

PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

12-10-01

Attorney Docket No.	UK01-012	Total Pages	2
First Named Inventor or Application Identifier Douglas Graeme			
Title : Alignment of Active Optical Components with Waveguides			
Express Mail Label No.:	ELUS 689106528		

CERTIFICATE OF EXPRESS MAIL UNDER 37 CFR 1.10:
 I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is Addressed to the Commissioner of Patents and Trademarks, Washington, DC 20231

on Nov 9 2001
(Date)Signature 

"EXPRESS MAIL" Mailing Label No. ELUS 689106528

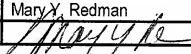


Assistant Commissioner for Patents
 Box Patent Application
 Washington, DC 20231

1. <input checked="" type="checkbox"/> * Fee Transmittal Form (Submit an original and a duplicate for fee processing)	5. <input type="checkbox"/> Microfiche Computer Program (Appendix)
2. <input checked="" type="checkbox"/> Specification [Total Pages 11] (preferred arrangement set forth below) <ul style="list-style-type: none"> - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R&D - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 	6. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) <ul style="list-style-type: none"> a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies
3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. § 113) [Total Sheets 7]	7. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))
4. Oath or Declaration [Total Pages <input type="checkbox"/>]	8. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee)
a. <input type="checkbox"/> Executed (original or copy)	9. <input type="checkbox"/> English Translation Document (if applicable)
b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d)) (for continuation/divisional with Box 16 completed)	10. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations
i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).	11. <input type="checkbox"/> Preliminary Amendment
16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional. <input type="checkbox"/> Continuation-in-part (CIP) of prior application No _____	12. <input type="checkbox"/> Return Receipt Postcard (MPEP 503) (should be specifically itemized)
Prior application information: Examiner: «EXAMINER» Group / Art Unit: _____	13. <input type="checkbox"/> Certified Copy of _____ Priority Document(s) (If foreign priority is claimed)
For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.	14. <input type="checkbox"/> Other: _____

17. CORRESPONDENCE ADDRESS

 Customer Number or Bar Code Labelor Correspondence address below

NAME	Ron Paglierani				
ADDRESS	Corning Lasertech MS902				
CITY	Bedford	STATE	MA	ZIP CODE	01730
COUNTRY	USA	TELEPHONE	781-280-9030	FAX	781-280-3301
Name (Print/Type)	Mary Y. Redman	Registration No. (Attorney/Agent)	29,201		
Signature		Date	11/9/01		

FEE TRANSMITTAL for FY 2001

TOTAL AMOUNT OF PAYMENT (\$740.00)

METHOD OF PAYMENT (check one)

The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **03-3325**

Deposit Account Name **Corning Incorporated**

Charge Any Additional Fees Required
Under 37 C.F.R. §§ 1.16 and 1.17

Payment Enclosed:

Check Money Order Other

FEES CALCULATION

1. BASIC FILING FEE

Large Entity	Fee	Fee	Fee Description	Fee Paid
Code	(\\$)			
	101	740	Utility filing fee	<u>740.00</u>
	106	330	Design filing fee	
	107	510	Plant filing fee	
	108	740	Reissue filing fee	
	114	160	Provisional filing fee	
SUBTOTAL (1)				(\$740.00)

2. EXTRA CLAIM FEES

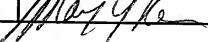
	Extra Fee from Claims below	Fee Paid	
Total Claims	$8 - 20^* = 0 \times 18 =$	00 00	
Independent	$2 - 3^{**} = 0 \times 84 =$	00.00	
Claims			
Multiple Dependent	0 =	0 00	
**or number previously paid, if greater; For Reissues, see below			
Large Entity	Fee	Fee Description	
Code	(\\$)		
	103	18	Claims in excess of 20
	102	84	Independent claims in excess of 3
	104	280	Multiple dependent claim, if not paid
	109	84	** Reissue independent claims over original patent
	110	18	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)		(\$0.00)	

Complete if Known	
Application Number	To Be Assigned
Filing Date	Herewith
First Named Inventor	Douglas Graeme
Examiner Name	To Be Assigned
Group / Art Unit	To Be Assigned
Attorney Docket Number	UK01-012

FEES CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
	105	130	Surcharge - late filing fee or oath	
	127	50	Surcharge - late provisional filing fee or cover sheet	
	139	130	Non-English specification	
	147	2,520	For filing a request for reexamination	
	112	920*	Requesting publication of SIR prior to Examiner action	
	113	1,840	Requesting publication of SIR after Examiner action	
	115	110	Extension for reply within first month	
	116	390	Extension for reply within second month	
	117	890	Extension for reply within third month	
	118	1,390	Extension for reply within fourth month	
	128	1,890	Extension for reply within fifth month	
	119	310	Notice of Appeal	
	120	310	Filing a brief in support of an appeal	
	121	270	Request for oral hearing	
	138	1,510	Petition to institute a public use proceeding	
	140	110	Petition to revive - unavoidable	
	141	1,240	Petition to revive - unintentional	
	142	1,240	Utility issue fee (or reissue)	
	143	440	Design issue fee	
	144	600	Plant issue fee	
	122	130	Petitions to the Commissioner	
	123	50	Petitions related to provisional applications	
	126	240	Submission of Information Disclosure Stmt	
	581	40	Recording each patent assignment per property (times number of properties) _____ x	
	146	710	Filing a submission after final rejection (37 C.F.R. § 1.129(a))	
	149	710	For each additional invention to be examined (37 C.F.R. § 1.129(b))	
	Other fee (specify) _____			
	Other fee (specify) _____			
	*Reduced by Basic Filing Fee Paid			SUBTOTAL (3) (\$)

SUBMITTED BY		Completed (if applicable)	
Name (Print/Type)	Mary Y. Redman	Registration No. (Attorney/Agent)	29,881
Signature		Date	11/9/01